



KIMAYA SECURITIES AND FINANCIAL SERVICES PVT LTD

Annexure-B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

Data for every month ending

| S N | Receive d from | Carried forward from pr vious month | Receive d during the mon th | Total P ending | Resolve d* | Pending at the en d of the month** | | Average Resoluti on time^(in days) |
|--------|-------------------------------|---|--------------------------------------|-------------------|---------------|---|---|--|
| | | | | | | Pendin g for less than 3 months | Pendin g for more than 3 months | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | | 8 |
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | | -- |
| 2 | SEBI (SCORE S) | 0 | 0 | 0 | 0 | 0 | | -- |
| 3 | Stock Exchang es | 0 | 0 | 0 | 0 | 0 | | -- |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | | -- |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | | -- |



Trend of monthly disposal of complaints

| SN | Month | Carried forward from month | Received | Resolved* | Pending** |
|----|-------------------|----------------------------|----------|-----------|-----------|
| 1 | 2 | 3 | 4 | 5 | 6 |
| 1 | April-2024 | 0 | 0 | 0 | --- |
| 2 | MAY-2024 | 0 | 0 | 0 | --- |
| 3 | JUNE -2024 | 0 | 0 | 0 | --- |
| 4 | JULY -2024 | 0 | 0 | 0 | --- |
| 5 | AUG -2024 | 0 | 0 | 0 | --- |
| | GrandTotal | 0 | 0 | 0 | --- |

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

| SN | Year | Carried forward From previous year | Received during the year | Resolved During the year | Pending at The end of the year |
|----|-----------------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1 | 2017-18 | 0 | 0 | 0 | 0 |
| 2 | 2018-19 | 0 | 0 | 0 | 0 |
| 3 | 2019-20 | 0 | 0 | 0 | 0 |
| 4 | 2020-21 | 0 | 0 | 0 | 0 |
| 5 | 2021-22 | 0 | 0 | 0 | 0 |
| 6 | 2022-23 | 0 | 0 | 0 | 0 |
| 7 | 2023-24 | 0 | 0 | 0 | 0 |
| 8 | 2024-25 (UPTO AUG 24 | 0 | 0 | 0 | 0 |
| | GRAND TOTAL | 0 | 0 | 0 | 0 |